

**MBFM 4004**

M.B.A. DEGREE EXAMINATION,  
DECEMBER 2014/JANUARY 2015.

Fourth Semester

Finance

**SECURITY MARKET OPERATIONS**

Maximum : 100 marks

Time : Three hours

PART A — (5 × 6 = 30 marks)

Answer any FIVE questions out of the following :

1. What are the powers and functions of SEBI?
2. What are the key factors affecting FIIs?
3. Explain the constituents of Indian capital market?
4. Explain the SEBI guidelines for Stock Option or Employees Stock Option Scheme (ESOP).
5. What are the functions of Stock Exchange?
6. Explain the procedure of Registration of custodian of services inspection under SEBI (Custodian of Securities and Regulation) Act 1996.